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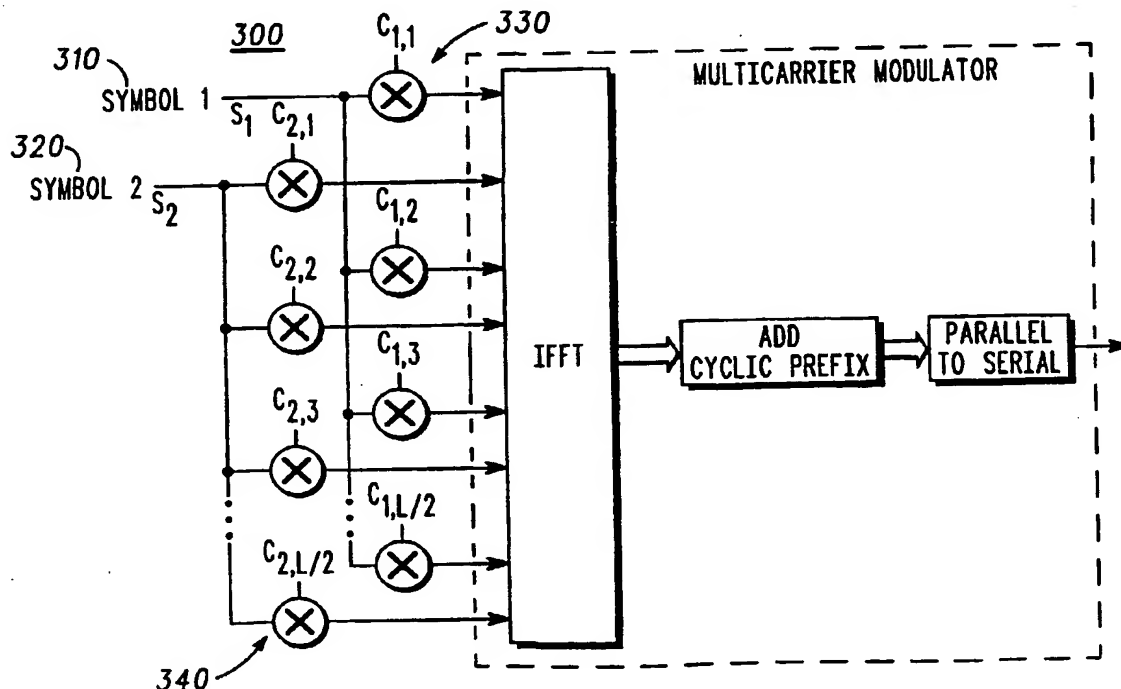
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(54) Title: MULTI-CARRIER VARIABLE MODE METHOD AND SYSTEM



(57) Abstract: The present invention provides a method for a variable transmission mode multi-carrier communication system by receiving a signal (310,320), determining a link quality as a function of the received signal, and selecting a compound multi-carrier communication mode (330,340) as a function of the link quality.

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## MULTI-CARRIER VARIABLE MODE METHOD AND SYSTEM

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## FIELD OF THE INVENTION

In general, the present invention relates to the field of communication systems and more particularly, to multi-carrier wireless communication systems.

## 10 BACKGROUND OF THE INVENTION

Orthogonal frequency division multiplexing (OFDM) is a well-known multi-carrier modulation scheme, which can be designed to operate in broadband channels with severe multipath. For broadband channels, a receive signal processing complexity of an OFDM system is typically lower than that of an equivalent equalized single-carrier system. However, OFDM requires channel coding in order to take advantage of the frequency diversity provided by multipath channels. Without channel coding, OFDM performs as if the channel is flat faded, leading to degraded (BER) performance. Therefore, most OFDM systems include channel coding. For best performance a code rate, where code refers to channel coding, must be low enough so that the channel code is capable of exploiting all of the channel diversity. As is known in the art, the diversity capability of the channel code decreases as the code rate is increased.

In a cellular wireless communication system, the downlink received signal quality, such as the signal-to-interference-plus-noise ratio (SINR), may vary significantly depending on the location of the user and other factors. For example, a user near a base station may experience a very high SINR, while a user at the edge of a cell will experience a very low SINR.

In order to accommodate the wide range of expected SINRs' in the system, users with a high SINR can be assigned a high-order modulation and

a high code rate, while users with a low SINR can be assigned a low-order modulation and a low code rate. However, this approach can still have two significant limitations. First, when the SINR is very high, the peak data rate provided by channel coded OFDM is limited by the code rate. Second, an edge-of-cell SINR can be extremely low with current aggressive cellular system frequency reuse plans; however, it is possible that even the lowest available modulation and coding rate (MCR), where coding refers to channel coding, requires a larger SINR than this edge-of cell value to provide reasonable BER performance. As a result, the system may have an unacceptably high outage probability.

One possible solution to these problems is to use multi-carrier code division multiple access (MC-CDMA) or spread OFDM (SOFD). Code in MC-CDMA refers to spreading code. MC-CDMA typically performs better than OFDM for uncoded transmissions. But MC-CDMA suffers from self-interference if multiple spreading codes (multi-code) are transmitted over a frequency-selective (delay-spread) channel. The self-interference is due to the fact that the frequency selective channel destroys the orthogonality between the transmitted spreading codes.

Thus there is a significant need for a method and device for improving a communication system that overcomes the above disadvantages and shortcomings, as well as other disadvantages.

## BRIEF DESCRIPTION OF THE DRAWINGS

**FIG. 1** is an overview diagram of one embodiment of a communication system in accordance with the present invention;

**FIG. 2** is a flow chart representation of one embodiment of a transmission selection method performed by the communication system of **FIG. 1**, in accordance with the present invention;

**FIG. 3** is a block diagram illustrating one embodiment for multiplexing a transmission performed by the communication system of **FIG. 1**, in accordance with the present invention;

**FIG. 4** is a flow chart representation of a second embodiment of the transmission selection method performed by the communication system of **FIG. 1**, in accordance with the present invention;

**FIG. 5** is a flow chart representation of a third embodiment of the transmission selection method performed by the communication system of **FIG. 1**, in accordance with the present invention; and

**FIG. 6** is a flow chart representation of a fourth embodiment of the transmission selection method performed by the communication system of **FIG. 1**, in accordance with the present invention.

## 10 DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

**FIG. 1** illustrates a wireless communication system **100** in accordance with one embodiment of the present invention. As shown in **FIG. 1**, a base station **110** provides communication service to a geographic region known as a cell **103**. At least one user device **120** and **130** communicate with the base station **110**.

As shown in **FIG. 1**, user devices **120** have a single antenna **101**, while user devices **130** have at least one antenna **101**. One embodiment of the invention provides that the user devices **120** and **130**, as well as the base station **110** may transmit, receive, or both from the at least one antenna **101**. An example of this would be a typical cellular telephone. Additionally, one embodiment of the invention can be implemented as part of a base station **110** as well as part of a user device **120** or **130**. Furthermore, one embodiment provides that user devices as well as base stations may be referred to as communication devices, transmitting units, receiving units, transmitters, receivers, transceivers, nodes, or any like term known in the art, and alternative transmitters and receivers known in the art may be used.

**FIG. 2** is a flow chart representation of one embodiment of the present invention. The embodiment may provide a compound communication mode **200** for improving the performance of the wireless communication system **100** of **FIG. 1** when a link quality between multiple communication devices is below a specified threshold. There are several methods known in the art for

determining the link quality 210. For example, embodiments of the invention may use a function of one or more of the following: FER (frame error rate), SER (symbol error rate), BER (bit error rate), SINR (signal to interference plus noise ratio), SIR (signal to interference ratio), SNR (signal to noise ratio) or WER (word error rate) measurements from previously received data or pilot signals or combinations thereof. Other methods known in the art for determining or measuring link quality may also be used. An example function of the BER that may be used to determine link quality is  $1/\text{BER}$  (inverse bit error rate). Another embodiment of the invention may include making an adjustment to (adjusting) the link quality, or to a link quality threshold between communication devices, as a function of the type of data detector (detector) used by the receiver. For example, different types of detectors such as maximum likelihood and linear MMSE (minimum mean-square error), or single iteration and multiple iteration (iterative detection) can have different performance at the same SINR. In one embodiment of the invention, if a receiver measures SINR to determine link quality, the link quality can be adjusted to reflect the performance characteristics of the type of detector used in the receiver. In another example, multi-carrier with spreading and multi-carrier without spreading may have different performance characteristics, and the link quality can be adjusted to account for the type of received signal, such as with or without spreading, that is used to determine the link quality.

A further embodiment of the invention may provide for adjusting the link quality or the link quality threshold to account for the characteristics of the signal used to determine the link quality, for example normalizing for any power difference between pilots and data. In this embodiment, if pilots are used to determine the link quality of the data when the pilots are transmitted with power  $P_1$  and the data is transmitted with power  $P_2$ , then the link quality determined for pilots may be adjusted to reflect the link quality on the data by accounting for the power difference ratio of  $P_2/P_1$ . In another embodiment of the invention, if the link quality is determined from the estimated BER or WER of a detected data signal having a modulation and channel code rate that differ from a reference modulation and channel code rate, then the adjustment

of the link quality may compensate for the expected performance difference between the actual modulation and code rate and the reference modulation and code rate. The described embodiments of adjusting the link quality and link quality threshold illustrate a small sample of typical applications, and the use of a link quality adjustment is not limited to these applications. All embodiments of the invention can provide transmission selection methods that support multi-carrier transmission with spreading and multi-carrier transmission without spreading (regular multi-carrier transmission) within the same communication system 100. In one embodiment, the regular multi-carrier transmission can be orthogonal frequency division multiplexing (OFDM) and the multi-carrier transmission with spreading (multi-carrier with spreading) can be multi-carrier code division multiple access (MC-CDMA). When used on the downlink of a communication system, MC-CDMA may also be referred to as MC-CDM (multi-carrier code division multiplexing) since the transmitted spreading codes originate from a single source. Another term that describes a form of multi-carrier transmission with spreading is spread OFDM or SOFDM. SOFDM and many forms of MC-CDMA typically spread a data symbol over a plurality of subcarriers (frequency domain spreading), but the present invention is also applicable to multicarrier systems with time domain spreading, or to systems with a combination or hybrid of frequency domain and time domain spreading.

A further embodiment of the invention may select a compound communication mode appropriate for each device within the communication system 100. A compound communication mode may select appropriate parameters (e.g., regular multi-carrier or multi-carrier with spreading, modulation and coding scheme (MCS) where coding refers to channel coding, spreading factor, number of transmitted (active) spreading codes) as well as obtain and provide additional transmission and reception information, in order to provide improved performance between communication devices. Three communication mode embodiments (transmission modes) that may be part of one or more compound communication modes may be summarized as multi-carrier with spreading and fractional loading (mode-1), regular multi-carrier,



which preferably includes the use of adaptive modulation and coding (AMC), where coding refers to channel coding (mode-2), and multi-carrier with spreading and full-load or nearly full-load multi-code transmission (mode-3). Multi-code refers to multiple spreading codes. For systems with spreading, the term loading is typically used to indicate the ratio of the number of active codes to the spreading factor.

Multi-carrier with spreading and fractional loading may be used when the signal to interference noise ratio (SINR) is lower than what may be required to support the lowest modulation and coding rate (MCR) of an adaptive modulation and coding (AMC) scheme, where "adaptive modulation and coding" or "AMC" includes adaptive modulation, adaptive coding, or a combination of adaptive modulation and adaptive coding. This communication mode (mode-1) can effectively expand the original AMC set to include lower modulation and coding rates (MCRs') that can operate at lower SINRs', without changes to the original set of modulation and coding schemes. For example, if the lowest MCR of the AMC set is 1, as may be provided by QPSK with rate-1/2 channel coding, then an effective MCR of 0.5 may be provided using MC-CDMA (or MC-CDM or SOFDM) transmission with SF/2 (where SF = spreading factor) active spreading codes (loading = 0.5) and QPSK with rate-1/2 channel coding on each active spreading code. In this example, the transmission with an MCR of 0.5 can be detected by a receiver at a lower SINR than a transmission with an MCR of 1, thereby reducing the outage probability or number of dropped calls in the system.

Another embodiment of the invention may describe mode-1 as a multi-carrier transmission with spreading, having an effective spreading gain greater than one. Within a further embodiment of the invention, an effective spreading gain greater than one can be implemented in multiple ways including using single-code with variable spreading factor; multi-code transmission with fractional loading, or a mix of single-code and multi-code transmission where the mix can be multiplexed onto different sets of subcarriers, different time intervals, or a combination thereof. Single-code refers to the case of a single active spreading code on a particular set or

subset of channel resources (such as subcarriers). For one embodiment of the invention, the spreading code can be a repetition code or well-known orthogonal codes such as Walsh-Hadamard codes, or a mapping that assigns K data symbols and (M - K) zeros to M time-frequency resources (M > K).

5 Mode-1 may be preferably implemented using single-code transmission with a variable spreading factor. For example, the effective MCR can be reduced by a factor of SF when a spreading factor of SF is used with single-code transmission. This may provide an effective spreading gain of  $G_{\text{eff}} = SF$  to communication devices for use in bad conditions, such as edge  
10 of cell locations. An additional embodiment of mode-1 may provide that the spreading factor as well as the effective spreading gain is increased as the SINR decreases. Typical values of SF for mode-1 would be 2, 4, 8, and 16, which can effectively add 4 new MCR's to the baseline AMC scheme. These values of SF can provide effective spreading gains of 3 dB, 6 dB, 9 dB, and  
15 12 dB, respectively.

In another embodiment of mode-1, the symbols being transmitted to different communication devices can be simultaneously assigned to different subcarriers, different time periods, or a combination of these. The multiplexed transmission 300 illustrated in FIG. 3 is an example of this embodiment of  
20 mode-1. The multiplexed transmission 300 depicts the simultaneous transmission of two data symbols 310 and 320, where symbol 1 310 may be spread with a spreading sequence  $c_{1,i}$  330, and symbol 2 320 may be spread with the sequence  $c_{2,i}$  340, and the chips (c) of the two spread symbols are multiplexed onto different sets of subcarriers. The chip index represented as  
25 i, progresses to the spreading factor value L/2 for each symbol 350, where L represents the number of subcarriers. In one embodiment of the invention, the spreading factors for the symbols do not need to be equal, the number of simultaneously transmitted symbols can be different from two, and the transmitted symbols can be either for different communication devices or for  
30 the same communication device.

One benefit of the previously described single-code transmission with variable spreading factor is that multiple users with either the same or

different spreading factors can be supported while remaining orthogonal (by being assigned to different sets of subcarriers, different time periods, or a combination of these). As shown in FIG. 3, a system with  $L$  subcarriers can support two simultaneous communication devices, each with  $SF = L/2$ , by assigning even-numbered subcarriers to a symbol **320** of one communication device and odd-numbered subcarriers to a symbol **310** of the other communication device. In an additional embodiment of the invention, a single communication device with  $SF = L/2$  can transmit two data symbols per OFDM symbol period while maintaining orthogonality between the two spreading codes. A further embodiment of the invention may assign a communication device to different sets of subcarriers in different time periods to achieve a frequency hopping effect, which may provide improved interference averaging.

A further embodiment of the invention may utilize repetition as a form of single-code transmission with a variable spreading factor for Mode-1. For example, if an effective spreading gain of 2 is needed, a symbol can be transmitted twice, while if an effective spreading gain of 5 is needed, the same symbol can be transmitted 5 times, and so forth. One embodiment of the invention may represent the simplest form of repetition by setting all of the chip values of the spreading code to 1. This embodiment of mode-1 as well as the original mode-1 scheme may space the chips (or repeated symbols) by more than the subcarrier spacing for improved frequency diversity. Also, one embodiment of the invention may improve on interference averaging by providing that different cells use different spreading codes, or use different sets of subcarriers for the chips/repeated symbols. This embodiment of a repetition scheme can apply a known phase shift sequence to the repeated symbols to effectively create different spreading codes in different cells. For the embodiments using repetition as a form spreading, the repeated symbol transmissions may occur on different subcarriers, different time intervals, or a combination thereof.

A further embodiment of the invention may use for mode-1 fractionally loaded multi-code transmission in place of the previously described single-

code with variable spreading factor. Within this embodiment of mode-1, single-code and multi-code transmission may be mixed. For example, a base station may transmit to one communication device using single-code with variable spreading factor and to another communication device using multi-  
5 code. Additionally, the transmissions may be made simultaneously by using different sets of subcarriers for the different destination communication devices. In another embodiment, a communication device can use single-code with variable spreading factor, while another communication device may use multi-code. In this embodiment, single-code communication devices may  
10 be assigned to different sets of subcarriers or different time periods than the multi-code communication devices. Different multi-code communication devices may also have different spreading factors.

Another embodiment of the invention can use a fixed spreading factor in Mode-1, and the number of active (transmitted) spreading codes (i.e., the  
15 loading) can be varied to change the effective spreading gain. For example, a fixed spreading factor of 32 could be selected, and the effective spreading gain may be changed by selecting the number of active (transmitted) spreading codes. For a step size of 3 dB in the effective spreading gain, the  
20 number of active spreading codes could start with 16 at  $\text{SINR}_0$ , and could decrease to 8, 4, 2, and finally 1 as the SINR decreases. The benefits of mode-1 using a multi-code method may include:

- Potentially higher realization of frequency diversity.
- Easy to mix users with different effective spreading factor requirements.
- 25 • Simple per-spreading code power control capability; simple interference averaging by overlaying a scrambling code on top of the original spreading codes.

However, unlike the single-code variable spreading factor method, the spreading codes do not remain orthogonal unless the channel is invariant  
30 over the chips of the spreading code.

An embodiment of the invention providing regular channel coded OFDM with AMC (mode-2) may be used when the SINR is above the SINR

required for the lowest MCR of the baseline AMC scheme ( $\text{SINR}_0$ ) and below the SINR required for the highest-order uncoded modulation ( $\text{SINR}_1$ ). Another embodiment of the invention may describe mode-2 as a channel coded multi-carrier transmission without spreading, or a regular channel coded multi-carrier transmission.

Another embodiment of the invention may provide Mode-2 to use full-load multi-code MC-CDMA with a small spreading factor (less than 17 and preferably less than 5). With a small spreading factor, the inter-spreading code interference may be less severe, and advanced receiver techniques such as joint detection may become feasible.

Further, one embodiment of the invention may alter mode-2 to incorporate interference averaging. When there is excess capacity in the system, for example at times when the demand for information bits is less than the transmission capability, mode-2 can be extended to include the use of OFDM interference averaging techniques. OFDM interference averaging techniques can reduce the short-term transmit power while extending the time required to transmit a given number of information bits. As a result, energy-per-bit-to-noise power density ratio ( $E_b/N_0$ ) may be unaffected, but the transmit power spectral density may be reduced providing interference averaging.

One embodiment of the invention may switch between mode-2 and mode-3 for certain MCSs', even when the SINR is between  $\text{SINR}_0$  and  $\text{SINR}_1$ . For example, if uncoded QPSK and uncoded 16 QAM are included in the AMC set (where the required SINR for QPSK is less than the required SINR for 16 QAM), and the required SINR for uncoded 16 QAM is  $\text{SINR}_1$ , then mode-3 may be used for uncoded QPSK transmission.

Mode-3 may be used by one embodiment of the invention, when the SINR is higher than  $\text{SINR}_1$ , and uncoded or lightly channel coded transmission is desired to obtain the maximum possible data rate. The use of spreading with multi-code in mode-3 can provide frequency diversity, and full-load multi-code transmission may provide the same data rate as a regular OFDM system. For one embodiment of mode-3, the spreading factor may be

made large in order to capture all of the frequency diversity, but the loading may be one or nearly one (0.75 to less than one) since the number of transmitted spreading codes may be equal to or nearly equal to the spreading factor.

- 5 One embodiment of the invention with AMC may assign to communication devices the modulation and coding scheme (mode embodiment) that best matches the actual link quality. Another embodiment of the invention may assign communication devices the mode embodiment that is associated with an MCR, which is the number of information bits represented by each channel coded symbol. A modulation and coding
- 10 scheme (MCS) can represent a specific combination of a modulation constellation and a channel code, and each MCS may have an associated MCR. The table below shows an example set of MCSs', their modulation constellation, code rate, and MCR. Typical channel codes may include
- 15 convolutional codes and turbo codes.

MCS index	Modulation constellation	Channel code rate	MCR (information bits/symbol)
1	QPSK	$\frac{1}{2}$	1
2	QPSK	$\frac{3}{4}$	1.5
3	16-QAM	$\frac{1}{2}$	2
4	16-QAM	1 (uncoded)	4

- Returning again to FIG. 2, after determining the link quality 210, one embodiment of the invention may compare the link quality to a threshold value 220. The threshold value, denoted as AB\_thresh\_1, may correspond to the
- 20 minimum required link quality for the lowest MCR from the MCS set. If the link quality is less than the AB\_thresh\_1 value, the transmission mode-1 may be selected 230. One embodiment of the invention may provide that the selection of any transmission mode and configuration can be performed either in a first communication device (node A) or a second communication device
- 25 (node B), or partly in each. For example, node B may determine the link quality and select a compound communication mode based on a function of

the link quality. Node B may transmit information about the selected compound communication mode to node A so that subsequent transmissions from node A to node B may use the selected mode. The information may consist of at least one parameter of the selected compound communication mode.

5 If mode selection is done in node A, then node A can signal the information to node B on some type of control/signaling channel. In one embodiment of the invention, the link quality may be determined by node B and node B may transmit at least one parameter to node A as a function of the link quality, and a compound multi-carrier communication mode may be selected as an operation of node A. Another embodiment of the invention may provide that node B attempt to determine the transmission mode and configuration blindly from the received signal, such as using a preset or default mode, or comparing the received signal to predefined characteristics.

10 After selecting mode-1, the compound communication mode 200 may select additional configuration information 240. For one embodiment of the invention, additional configuration information may include in part or completely or in combination, from data not limited to MCS, spreading factor, and number of active spreading codes. Using the selected transmission mode-1 and the determined configuration information, data may be transmitted from node A to node B 250.

15 If the compound communication mode 200 determines the link quality to be more or equal to the AB\_thresh\_1 value, the transmission mode-2 may be selected 260, and the method may proceed to the block 240 and continue as previously described.

25 FIG. 4 is a flow chart representation of an embodiment of the present invention providing another compound communication mode 400 for improving the performance of the wireless communication system 100 of FIG. 1. After determining the link quality from one node (node A) to a second node (node B) 410, a determination may be made if the link quality is greater than a threshold AB\_thresh\_2 420. The threshold value, denoted as AB\_thresh\_2, may correspond to the required link quality for the highest rate MCR (from the

MCS set) for which mode 2 cannot exploit all of the channel diversity and may result in a degraded performance compared to mode 3. For an embodiment of the invention where the link quality is greater than threshold AB\_thresh\_2, mode-3 may be selected as the transmission mode **430**. For an embodiment  
5 of the invention where the link quality is not greater than threshold AB\_thresh\_2, mode-2 may be selected as the transmission mode **450**. With the selection of the preferred transmission mode completed, the compound communication mode **400** may select additional configuration parameters in the manner previously detailed **440**. The compound communication mode  
10 **400** may then transmit from node A to node B using the selected transmission mode and configuration **460**.

A further embodiment of the invention is illustrated as another embodiment of a compound communication mode **500** in FIG. 5. After determining a link quality from one node (node A) to a second node (node B)  
15 **510**, the compound communication mode **500** may decide whether or not mode-3 can provide better performance than mode-2 when both are configured to have the same or virtually the same transmission rate and resource usage **520**. If so, mode-3 may be selected for transmission from node A to node B **530**. If no performance benefit is found to justify mode-3,  
20 mode-2 may be used for transmission from nodes A to B **550**. Regardless of the selected transmission mode, additional configuration parameters may be selected in accordance with previously detailed embodiments **540**. The compound communication mode **500** may conclude with the transmission from nodes A to B using the selected transmission mode and configuration  
25 **560**.

An additional embodiment of the invention is illustrated within the flowchart of FIG. 6 as another embodiment of a compound communication mode **600**. Compound communication mode **600** may incorporate all or part of the methods from the previously described compound communication  
30 modes **200**, **300**, **400** and **500**. FIG. 6 may begin with the determination of a link quality from nodes A to B **605**. After determining the link quality **605**, one embodiment of the invention may compare the link quality to a threshold value



610. The threshold value, denoted as AB\_thresh\_1, may correspond to the minimum required link quality for the lowest MCR from the MCS set. If the link quality is less than the AB\_thresh\_1 value, the transmission mode-1 may be selected 615. After selecting mode-1, the compound communication mode 5 600 may select additional configuration parameters 620. For one embodiment of the invention, additional configuration parameters may include in part or completely or in combination, from data not limited to MCS, spreading factor, and number of active spreading codes. Using the selected transmission mode-1 and the determined configuration, data may be 10 transmitted from node A to node B 625.

If the compound communication mode 600 determines the link quality to be more or equal to the AB\_thresh\_1 value, the method may proceed to the block 630, where a determination may be made if the link quality is greater than the threshold AB\_thresh\_2. The threshold value, denoted as 15 AB\_thresh\_2, may correspond to the required link quality for the highest rate MCR (from the MCS set) for which mode 2 cannot exploit all of the channel diversity and may result in a degraded performance compared to mode 3. For an embodiment of the invention where the link quality is greater than threshold AB\_thresh\_2, mode-3 may be selected as the transmission mode 20 635. With the selection of the preferred transmission mode completed, the compound communication mode 600 may select additional configuration parameters in the manor previously detailed 620. The compound communication mode 600 may then transmit from node A to node B using the selected transmission mode and configuration 625.

25 For an embodiment of the invention where the link quality is not greater than threshold AB\_thresh\_2 630, the compound communication mode 600 may decide whether or not mode-3 can provide better performance than mode-2 when both are configured to have the same or virtually the same transmission rate and resource usage 650. If so, mode-3 may be selected for 30 transmission from node A to node B 635. If no performance benefit is found to justify mode-3, mode-2 may be used for transmission from nodes A to B 655. Regardless of the selected transmission mode, additional configuration

parameters may be selected in accordance with previously detailed embodiments 620, and the compound communication mode 600 may conclude with the transmission from nodes A to B using the selected transmission mode and configuration 625. Another embodiment of the invention may provide that blocks 610 and 630, while remaining with their associated functionality, may be interchangeable.

An additional embodiment of the invention relates to systems that use automatic repeat requests (ARQ). Some forms of ARQ may transmit the original information in an uncoded form on the first attempt. If the original transmission contains any errors, some channel coding redundancy (e.g., parity bits) may be transmitted on the next attempt. In this embodiment of a wireless communication system, it may be advantageous to use multi-code MC-CDMA (Mode-3) for the first transmission. Then, re-transmissions can use regular OFDM, as appropriate. Another embodiment may use multi-carrier with spreading for the first transmission and then select multi-carrier with or without spreading for re-transmissions based on the link quality, similar to other embodiments of the invention.

The above-described methods and implementations of transmission mode selections and configurations are example methods and implementations. These methods and implementations illustrate one possible approach for encoding and decoding media sequences. The actual implementation may vary from the method discussed. Moreover, various other improvements and modifications to this invention may occur to those skilled in the art, and those improvements and modifications will fall within the scope of this invention as set forth below.

The present invention may be embodied in other specific forms without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects as illustrative and not restrictive.

## WE CLAIM

1. A method of operation for a variable modulation communication system comprising:
  - receiving a signal;
  - 5 determining a link quality as a function of the received signal;
  - and
  - selecting a compound multi-carrier communication mode as a function of the link quality.
2. The method of claim 1 further comprising selecting a compound multi-carrier communication mode for each of a plurality of nodes wherein the plurality of compound multi-carrier communication modes are multiplexed onto different sets of subcarriers.
3. The method of claim 1 wherein the link quality function includes comparing the expected performance of a plurality of compound multi-carrier communication modes for the link quality.
4. The method of claim 1 wherein the compound multi-carrier communication mode is selected from a default value when no received signal is detected.
5. The method of claim 1 wherein the link quality is determined by a function of at least one parameter of the group consisting of signal to noise plus interference ratio, signal to noise ratio, signal to interference ratio, bit error rate, symbol error rate, word error rate, and frame error rate.
6. A system for operation for a variable modulation communication system comprising:
  - means for receiving a signal;

means for determining a link quality as a function of the received signal; and

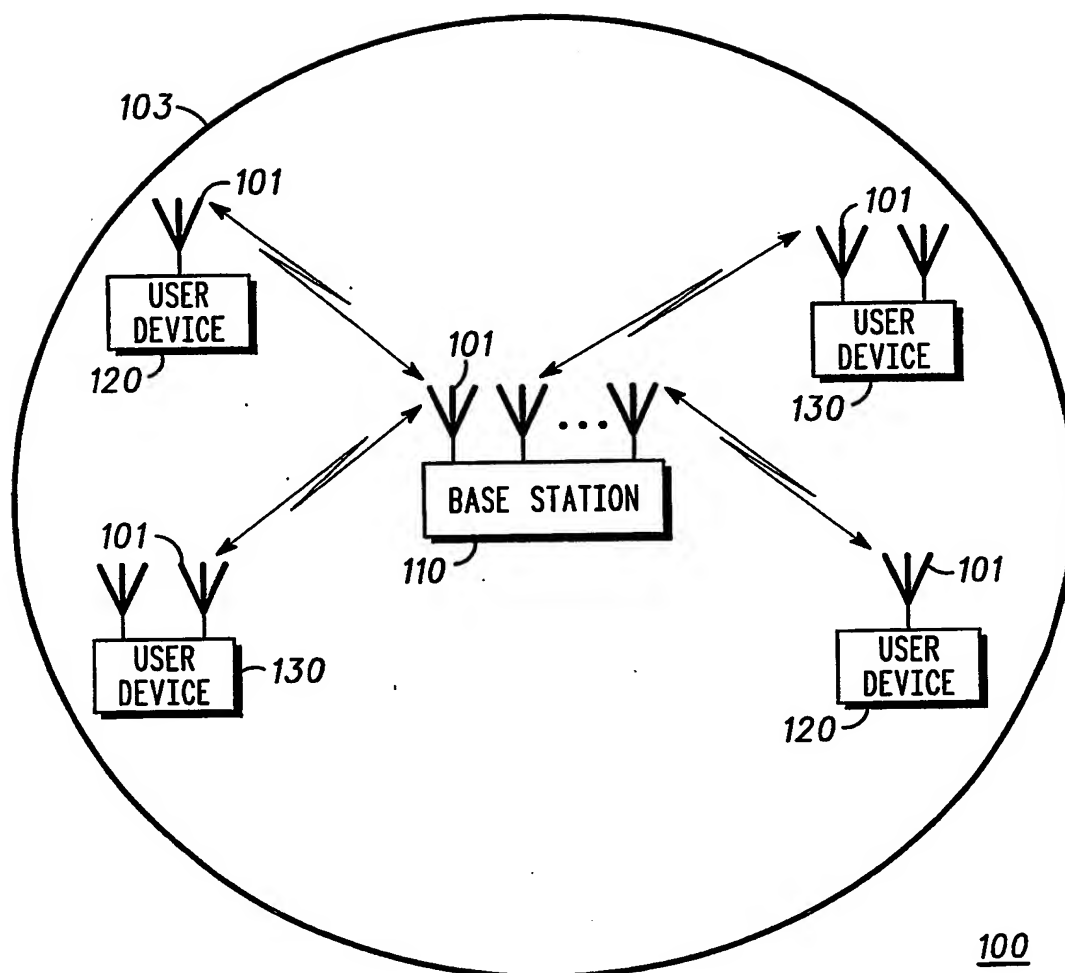
means for selecting a compound multi-carrier communication mode as a function of the link quality.

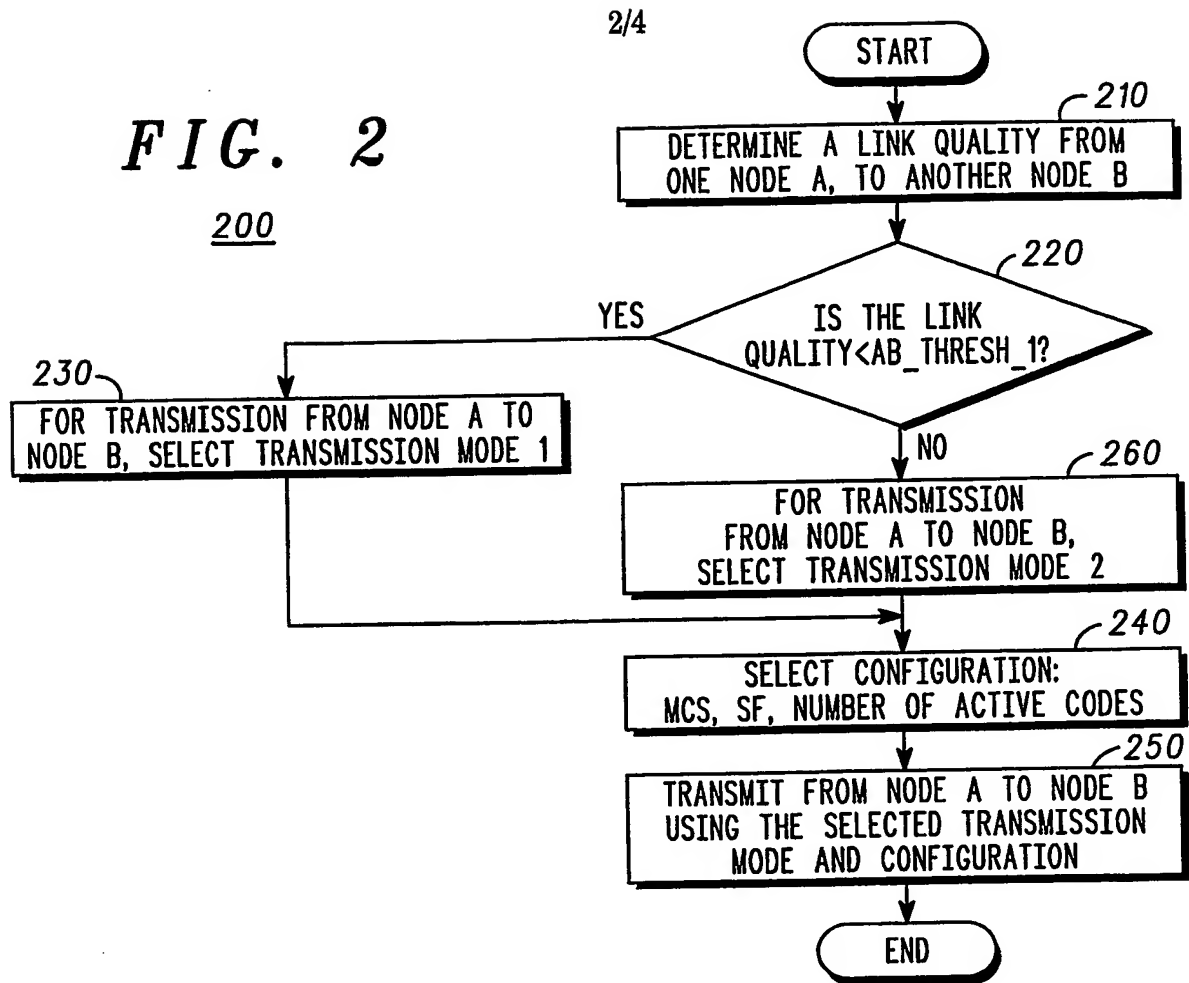
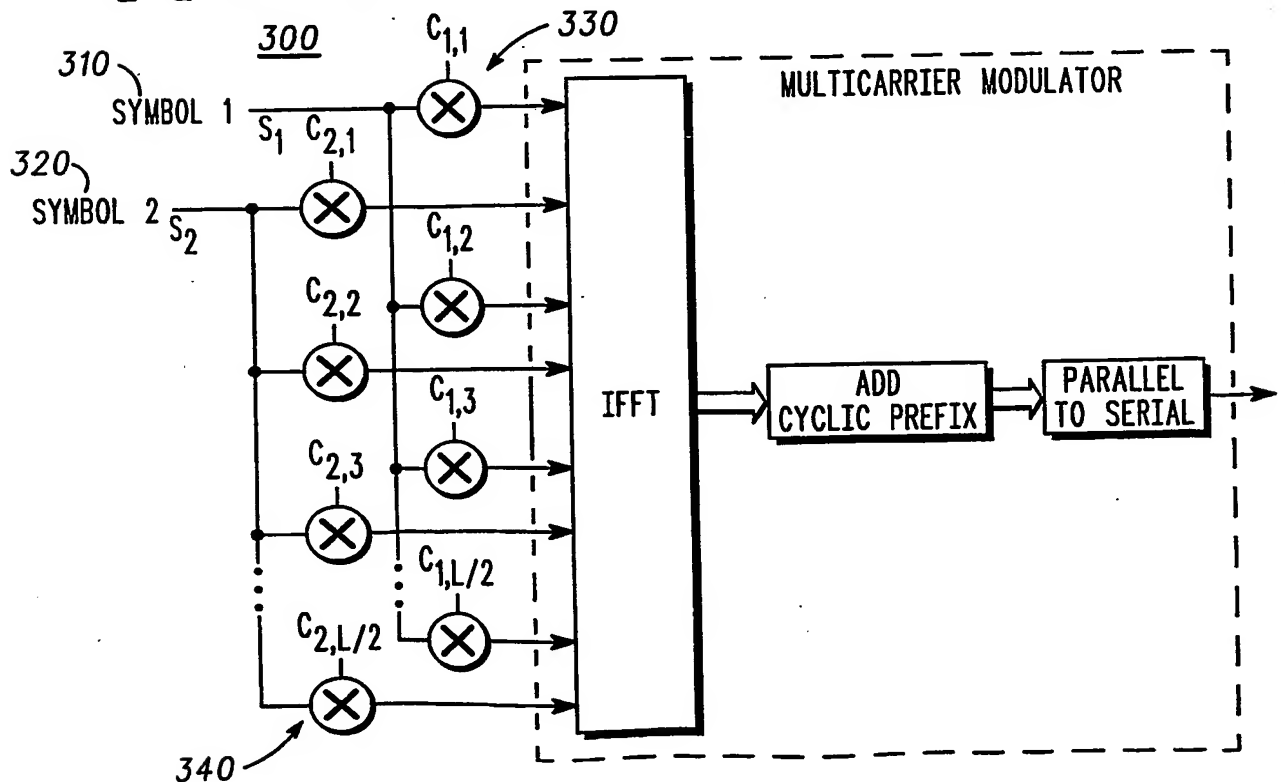
5           7.     The system of claim 6 further comprising means for selecting a compound multi-carrier communication mode for each of a plurality of nodes wherein the plurality of compound multi-carrier communication modes are multiplexed onto different sets of subcarriers.

10           8.     The system of claim 6 further comprising means for transmitting an automatic repeat request, wherein a first transmission is made with a selected compound multi-carrier communication mode with spreading, and a second transmission is made using a compound multi-carrier communication mode that is selected as a function of the link quality.

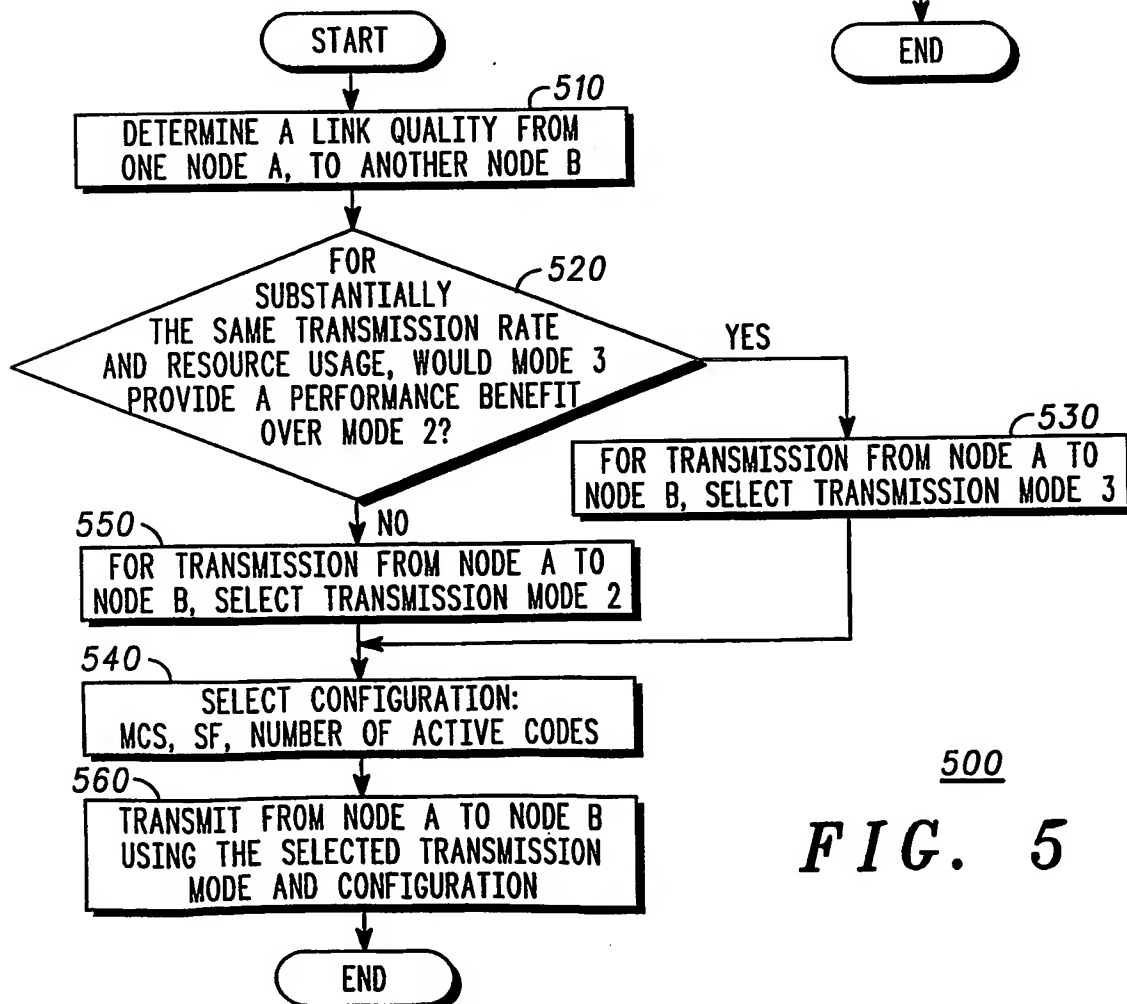
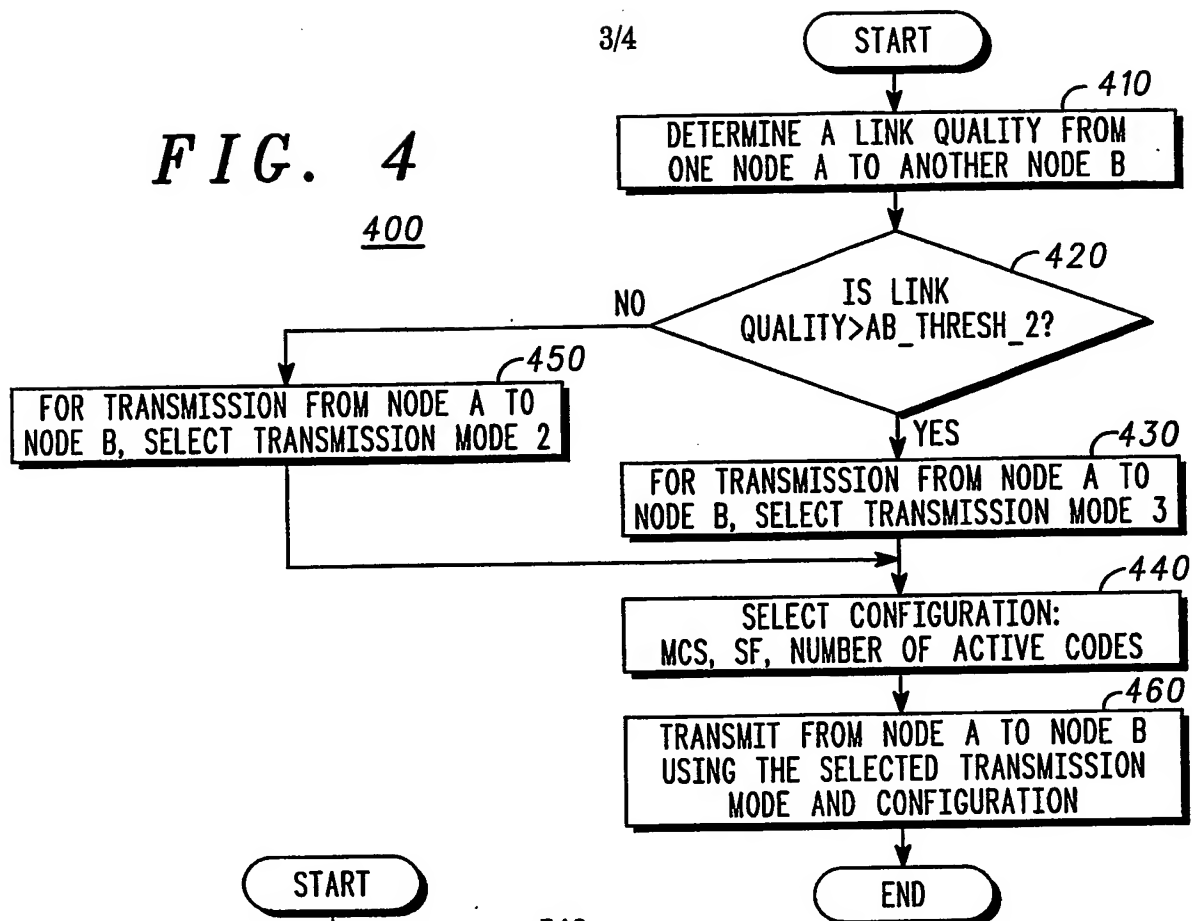
15           9.     The system of claim 6 further comprising means for adjusting at least one of the group consisting of the link quality and a link quality threshold, wherein the adjustment relates to a characteristic of the received signal used to determine the link quality.

20           10.    The system of claim 6 further comprising:  
              means for determining a data detector type for a communication device; and  
              means for adjusting at least one of the group consisting of the link quality and a link quality threshold, as a function of the type of data detector wherein the compound multi-carrier communication mode is selected  
25    for transmissions to the communication device.

**FIG. 1**

**FIG. 2****FIG. 3**

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**FIG. 4****FIG. 5**

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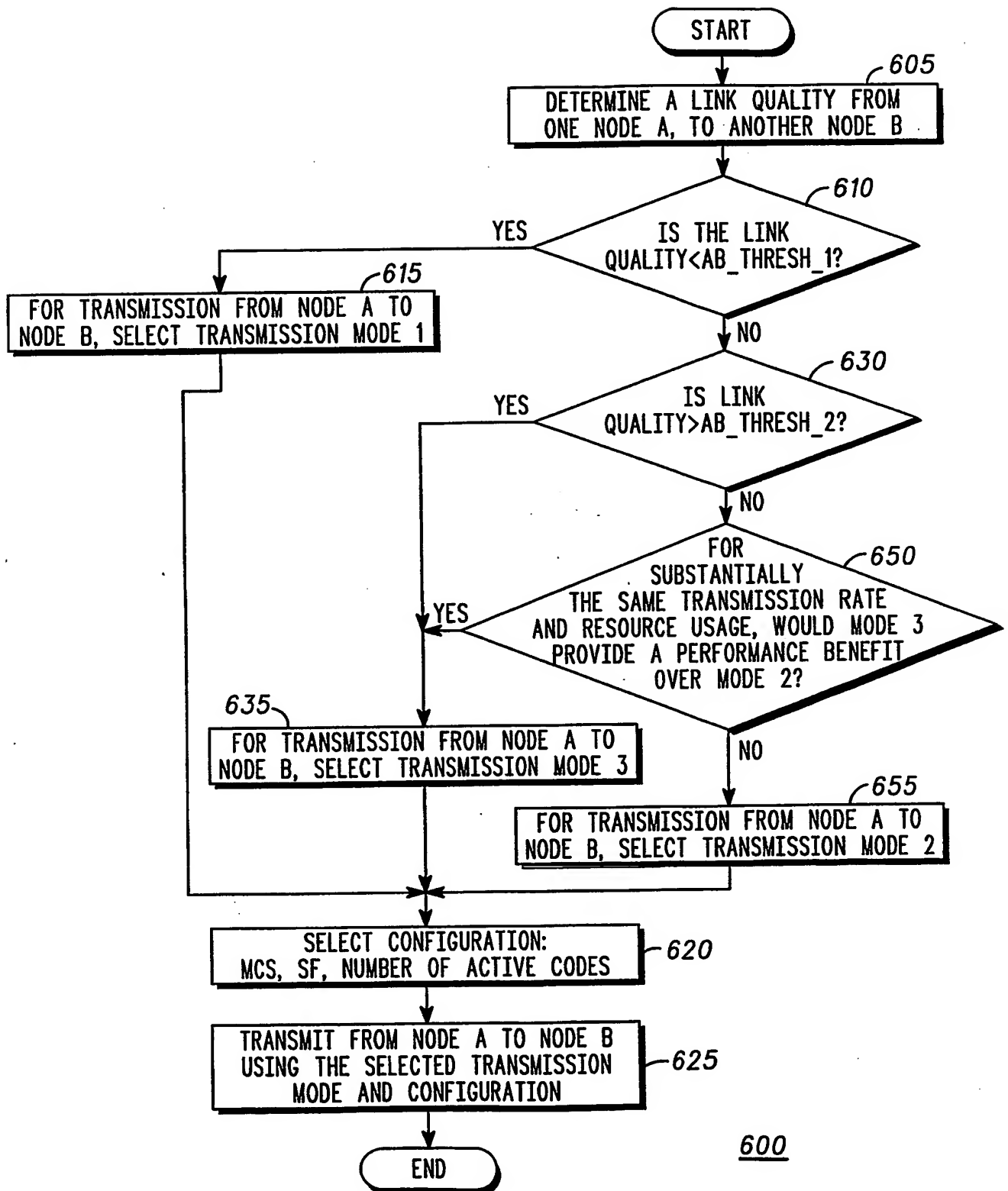


FIG. 6



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/39019

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
IPC(7) : H04J 4/00, 11/00, 9/00, 13/00		
US CL : 370/204, 208		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols)		
U.S. : 370/204, 208, 436; 375/130-137, 261, 298, 308, 347		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched BRS (EAST)		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, P	US 2002/0056066 A1 (GESBERT et al) 09 May 2002 (09.05.2002), abstract, paragraphs [0013], [0015], [0018], [0019], [0037], [0042], [0057], [0058], [0065], [0066], [0069], [0070], [0075], [0078] and [0079].	1-3, 5-7 and 9
X, E	US 2003/0003880 A1 (LING et al) 02 January 2003 (02.01.2003), paragraphs [0027], [0029], [0043], [0044], [0045], [0068], [0203] and [0233].	1-3, 5-7 and 9
X, E	US 2003/0021245 A1 (HAUMONTE et al) 30 January 2003 (30.01.2003), abstract, paragraphs [0004], [0008], [0009], [0025], [0026], [0027], [0028], [0029], [0040], [0041] and [0044].	1-3, 5-7
A, P	US 2002/0051435 A1 (GIALLORENZI et al) 02 May 2002 (02.05.2002), entire document	1-10
A, P	US 6,385,462 B1 (BAUM et al) 07 May 2002 (07.05.2002), entire document	1-10
A, P	US 2002/0110101 A1 (GOPALAKRISHNAN et al) 15 August 2002, entire document	1-10
A, P	US 2002/0154705 A1 (WALTON et al) 24 October 2002 (24.10.2002), entire document	1-10
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
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"A"	document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"P"	document published prior to the international filing date but later than the priority date claimed	
Date of the actual completion of the international search		Date of mailing of the international search report
09 February 2003 (09.02.2003)		10 MAR 2003
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703)305-3230		Authorized officer Kizou Hassan Telephone No. (703) 305-4700

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## C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, P	US 6,473,467 B1 (WALLACE et al) 29 October 2002 (29.10.2002), entire document	1-10
A, E	US 6,493,331 B1 (WALTON et al) 10 December 2002 (10.12.2002), entire document	1-10